

Center for Child Family for Innovative Research  
Baseline Standards Form

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Marsha Christ, College Business Administrator	
2	Updating the Baseline Standards Form.	Marsha Christ, CBA	
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Lisa Martinez, Research Administrator	
2	Reviewing cost center verifications.	Isabel Torres, Asst Professor; Lovell Jones, Visiting Professor	
3	Approving cost center verifications.	Isabel Torres, Asst Professor; Lovell Jones, Visiting Professor	
4	Ensuring all cost centers are verified/approved on a timely basis.	Marsha Christ, CBA	
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Sheila Lindsay, Secretary II; Lisa Martinez, Research Administrator	Yolanda Williams, Asst College Business Administrator; Marsha Christ, CBA
2	Ensuring the validity of travel and expense reimbursements.	Sheila Lindsay, Secretary II; Lisa Martinez, Research Administrator	Yolanda Williams, Asst College Business Administrator; Marsha Christ, CBA
3	Ensuring that goods and services are received and that timely payment is made.	Sheila Lindsay, Secretary II; Lisa Martinez, Research Administrator	Yolanda Williams, Asst College Business Administrator; Marsha Christ, CBA
4	Ensuring correct account coding on purchases documents.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
5	Primary contact for inquiries to expenditure transactions.	Sheila Lindsay, Secretary II; Lisa Martinez, Research Administrator	Marsha Christ, CBA
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Reconciling leave records to time and effort reports.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
2	Reconciling leave accruals to the payroll system.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
3	Ensuring all time and effort reports are submitted to Payroll.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
4	Reconciling time and effort reports to check registers.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
5	Completing termination clearance procedures.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
6	Ensuring terminated employees are no longer charged to departmental cost centers.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
7	Paycheck distribution.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
8	Maintaining departmental Personnel files	Lisa Martinez, Research Administrator	Marsha Christ, CBA
9	Ensuring valid authorization of new hires.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
10	Ensuring valid authorization of changes in compensation rates.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
11	Ensuring the accurate input of changes to the payroll system.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
12	Propriety of leave account classification on time records.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
13	Consistent and efficient responses to inquiries.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
<b>CASH HANDLING</b>			

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1	Collecting cash, checks, etc.	N/A	
2	Reconciling cash, checks, etc. to receipts.	N/A	
3	Preparing deposits.	N/A	
4	Preparing Journal Entries.	N/A	
5	Verifying deposits to the financial system.	N/A	
6	Adequacy of physical safeguards.	N/A	
7	Transporting deposits to Student Financial Services.	N/A	
8	Ensuring deposits are made timely.	N/A	
9	Ensuring "Acknowledgement of Cash Handling Duties" form is completed by all appropriate employees.	N/A	
10	Updating Cash Handling Procedures as needed.	Marsha Christ, CBA	
11	Consistent and efficient responses to inquiries.	N/A	
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
<b>LONG DISTANCE / CELL PHONE CHARGES</b>			
1	Forwarding employees long distance and cell phone charge reports.	N/A	
2	Ensuring employees review their long distance and/or cell phone charge reports.	N/A	
3	Ensuring personal calls are reimbursed within 10 days from the billing date.	N/A	
<b>CONTRACT ADMINISTRATION</b>			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
<b>PROPERTY MANAGEMENT</b>			
1	Performing the annual inventory.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean of Administration
2	Ensuring the annual inventory was completed correctly.	Paul Raffoul, Associate Dean of Administration	
3	Tagging equipment.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean of Administration
4	Approving requests for removal of equipment from campus.	Paul Raffoul, Associate Dean of Administration	David Nguyen, User Services Specialist 2
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete a Related Party disclosure statement.	Lisa Martinez, Research Administrator	

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2	Ensuring all full time, benefits eligible, exempt staff complete a Consulting disclosure statement.	Lisa Martinez, Research Administrator	
3	Ensuring that all Principal and Co-Principal Investigators complete a Conflict of Interest disclosure statement.	Lisa Martinez, Research Administrator	
ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	
2	Billing.	N/A	
3	Collection.	N/A	
4	Recording.	N/A	
5	Monitoring credit extended.	N/A	
6	Approving write-offs.	N/A	
NEGATIVE BALANCES			
1	Ensuring that all fund groups have positive fund equity at year-end.	Marsha Christ, CBA	
2	Ensuring that research expenditures are covered by funds from sponsors.	Marsha Christ, CBA	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Paul Raffoul, Associate Dean for Administration	Ira Colby, Dean
2	Ensuring that critical data back up occurs.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean for Administration
3	Ensuring that procedures such as password controls are followed.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean for Administration
4	Reporting of suspected security violations.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean for Administration